



Dynasec Enterprise™ **Sarbanes – Oxley Module**

Automate and simplify your company's internal controls audit process
and make compliance sustainable year after year.

Product Description

The Sarbanes-Oxley Act is not just a one-time event but an ongoing requirement that forces companies to continually evaluate their financial controls and regulatory compliance .

Dynasec Enterprise™ is an easy to use, web based enterprise class application that enables you to automate and sustain your internal controls audit. With Securitive you can continuously manage and control sox compliance process and reduce sox compliance costs.

Dynasec Enterprise™ provides a comprehensive work cycle that is composed of 4 stages:

1. Definition Stage

- Define organizational structure
- Define locations
- Define users and rights
- Define projects and alerts
- Configure data import

2. Documentation Stage

- Collect and quantify incidents
- Define process and sub processes
- Define risks
- Define controls
- Asses controls status

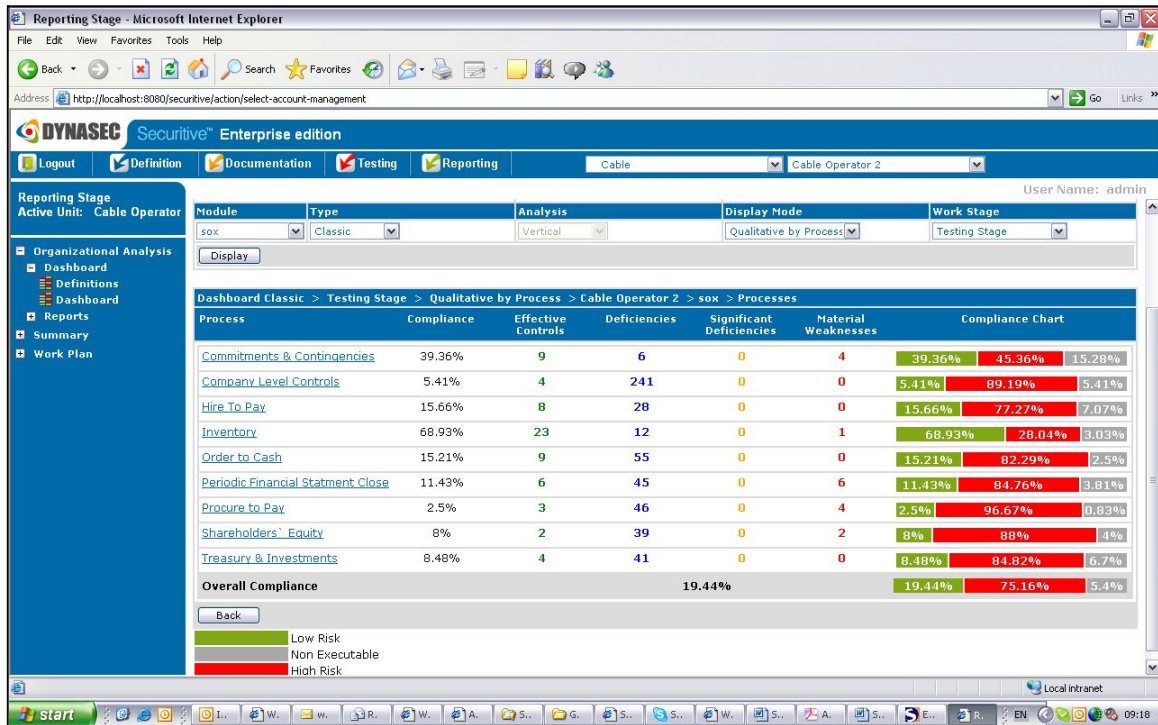
4. Reporting Stage

- View dashboards and reports
- Perform simulations
- Define remediation plan
- Distribute and follow tasks
- Export information

3. Testing Stage

- Perform testing
- Describe findings
- Write recommendations
- Attach evidence
- Provide remarks

Main Dashboard



Reporting Stage - Microsoft Internet Explorer

Address: http://localhost:8080/secure/active/select-account-management

DYNASEC Securetive Enterprise edition

Reporting Stage Active Unit: Cable Operator

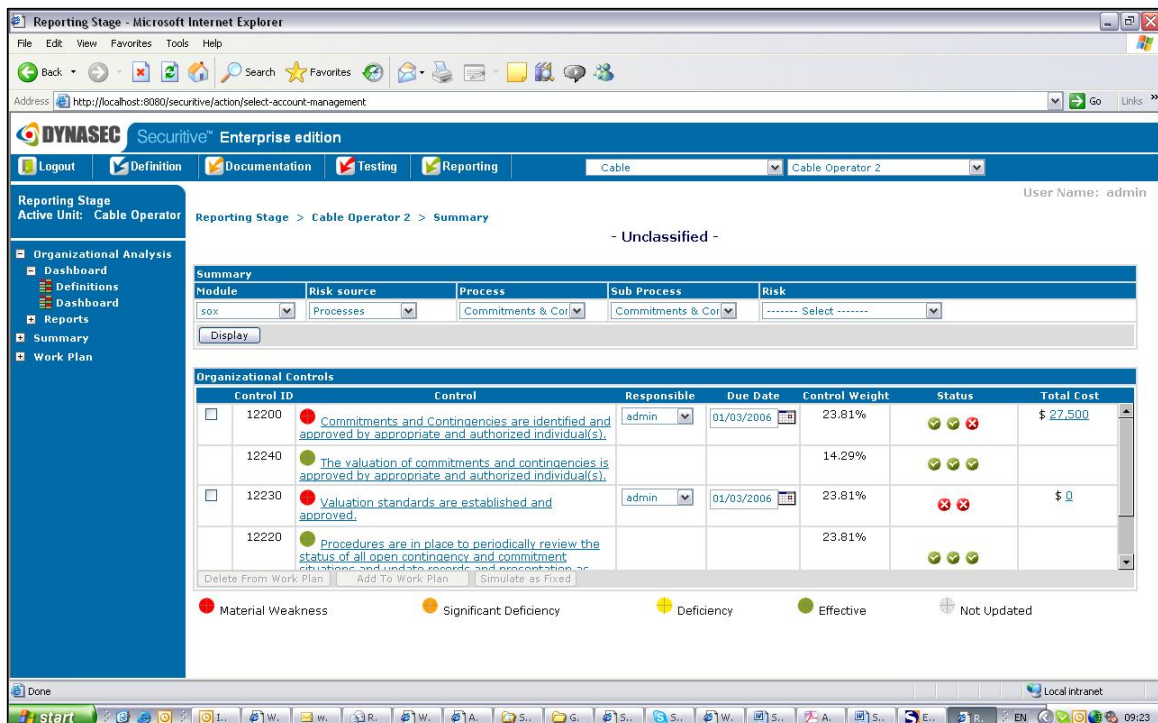
Module: sox | Type: Classic | Analysis: Vertical | Display Mode: Qualitative by Process | Work Stage: Testing Stage

Dashboard Classic > Testing Stage > Qualitative by Process > Cable Operator 2 > sox > Processes

Process	Compliance	Effective Controls	Deficiencies	Significant Deficiencies	Material Weaknesses	Compliance Chart		
Commitments & Contingencies	39.36%	9	6	0	4	39.36%	45.36%	15.28%
Company Level Controls	5.41%	4	241	0	0	5.41%	89.19%	5.41%
Hire To Pay	15.66%	8	28	0	0	15.66%	77.27%	7.07%
Inventory	68.93%	23	12	0	1	68.93%	28.04%	3.03%
Order to Cash	15.21%	9	55	0	0	15.21%	82.29%	2.5%
Periodic Financial Statement Close	11.43%	6	45	0	6	11.43%	84.76%	3.81%
Procure to Pay	2.5%	3	46	0	4	2.5%	96.67%	0.83%
Shareholders' Equity	8%	2	39	0	2	8%	88%	4%
Treasury & Investments	8.48%	4	41	0	0	8.48%	84.82%	6.7%
Overall Compliance				19.44%		19.44%	75.16%	5.4%

Legend: Low Risk (Green), Non Executable (Grey), High Risk (Red)

Control Status Dashboard



Reporting Stage - Microsoft Internet Explorer

Address: http://localhost:8080/secure/active/select-account-management

DYNASEC Securetive Enterprise edition

Reporting Stage Active Unit: Cable Operator

Reporting Stage > Cable Operator 2 > Summary - Unclassified -

Summary

Module	Risk source	Process	Sub Process	Risk
sox	Processes	Commitments & Cor	Commitments & Cor	----- Select -----

Organizational Controls

Control ID	Control	Responsible	Due Date	Control Weight	Status	Total Cost
12200	Commitments and Contingencies are identified and approved by appropriate and authorized individual(s).	admin	01/03/2006	23.81%	Effective	\$ 27,500
12240	The valuation of commitments and contingencies is approved by appropriate and authorized individual(s).			14.29%	Effective	
12230	Valuation standards are established and approved.	admin	01/03/2006	23.81%	Deficiency	\$ 0
12220	Procedures are in place to periodically review the status of all open contingency and commitment situations and update records and presentation as			23.81%	Effective	

Legend: Material Weakness (Red), Significant Deficiency (Orange), Deficiency (Yellow), Effective (Green), Not Updated (Grey)

Features

Dynasec Enterprise™ is the ultimate solution for on going management of Sarbanes – Oxley compliance. Securitive provides a set of functions that enables you to save up to 70% of compliance management costs!

- ▶ **Automated Controls:** Improving and shortening the on-going testing process by defining automatic controls that connect to operational systems, performs automatic testing, and provides you with the most accurate information.
- ▶ **Pre-Defined Database:** Providing thousands of pre-defined risks and controls, organized in processes and sub processes. Enabling quick and in depth creation of a relevant framework for each entity.
- ▶ **Organizational Framework:** Automatically linking the multilevel organizational structure to risk frameworks, maintaining integrity even as organizations evolve.
- ▶ **Document Management:** Manages policies, procedures, tests, evidence, and any other documents, on corporate level, location level, risk and control levels.
- ▶ **On Going Management:** Enabling valid period-to-period comparatives and preserving original context, including complete control framework and documentation, testing, descriptive information, organizational structure and assessment data.
- ▶ **Assessment, Testing and Remediation:** Creating and managing all required forms, dialogues and remediation/action plans, mapped to organizational and control frameworks for accurate, real-time results.
- ▶ **Reporting / Business Intelligence:** Cutting across entities, control cycles, time periods, sectors, accounts and class of business with executive dashboard, pre-defined customizable reports and graphs; integrating data warehousing with financial reporting and analysis tools of choice.
- ▶ **Audit Logs:** Tracking all changes to framework and organizational structure, action plans, test work, etc., including person, date, time and before and after changes to content, data and attributes.

Key Benefits

- ▶ Implement a central risk management platform, save time and money.
- ▶ Make SOX management sustainable.
- ▶ Make internal control compliance an integral part of the company's culture.
- ▶ Share information with other compliance and risk management processes.
- ▶ Provide accurate reports by using sophisticated dashboards.
- ▶ Create a central body of evidence for all compliance activities
- ▶ Drive consistency and standardization in business processes.

Advantages

- ▶ **Innovative Architecture** - A common repository that enables management of multiple compliance processes in one system.
- ▶ **Advanced Design** - Thousands of pre-build risks and controls that reduce your time to compliance.
- ▶ **Easy Access** - Web based application that is user friendly and easy to use.
- ▶ **Quality Performance** - Fast implementation and easy integration with existing infrastructure.
- ▶ **Robust Operation** - Enterprise wide solution that enables participation of thousands of employees in the process .

